

Compliances

An Ocean of opportunities

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Pain Points



Limited Area of Practice



Limited Budgets



Customer Acquisition



Limited Skill Set



Mounting Staff Cost



Access and Adoptability of
Technology

Why Compliance Professionals?

Increase in FDI participation

Rise in Start-ups

Investors focus ESG Compliances

Growing Indian MNCs

Innovative Business Models

Complex Compliance Structures

Compliance Landscape

Central Laws

Secretarial

Companies Act, Import / Export, FCRA, RBI-Establishment in India etc.

Finance & Taxation

GST, Income Tax, Excise, ECB, MSME, FDI, Anti Money Laundering, Expenditure Tax, Luxuries Act, Conservation of Foreign Exchange, etc

HR

PF, ESIC, PT, LWF, Gratuity, CLRA, Foreign Labour, Minimum Wages, Equal Remuneration, Sexual Harassment, Employment Exchange etc.

EHS

Environment, Water, Air Protection, Noise, E-Waste, Plastic, Hazardous, Bio Medical Waste, Fire Safety, Explosive, Gas Cylinder, Petrol, etc.

Information

IT Reasonable Security and Data protection rules

Regulatory & Consumer Protection

Drugs & Cosmetics, Legal Metrology, Design, BIS, Trade Mark, Consumer Protection, Advertisement, FSSAI, Competition, INDUSTRY SPECIFIC ACTS, etc.

State Laws

Local Laws

Compliance Landscape – Some Facts

	Manufacturing Unit	Corporate Office
Compliances	2000 plus	1200 plus
License	40 plus	20 plus
Returns	20 Plus	15 Plus

Scope of Practice in Compliances

Identification of Compliance Obligations

Execution of Compliances

Compliance Review

Setting up of Compliance Management Systems

Compliance Category

- One Time : Approvals , Licenses and Registrations
- Date Based : Returns and Statutory Dues
- Ongoing : Registers, Displays and Practices
- Event based : Modifications and Intimations

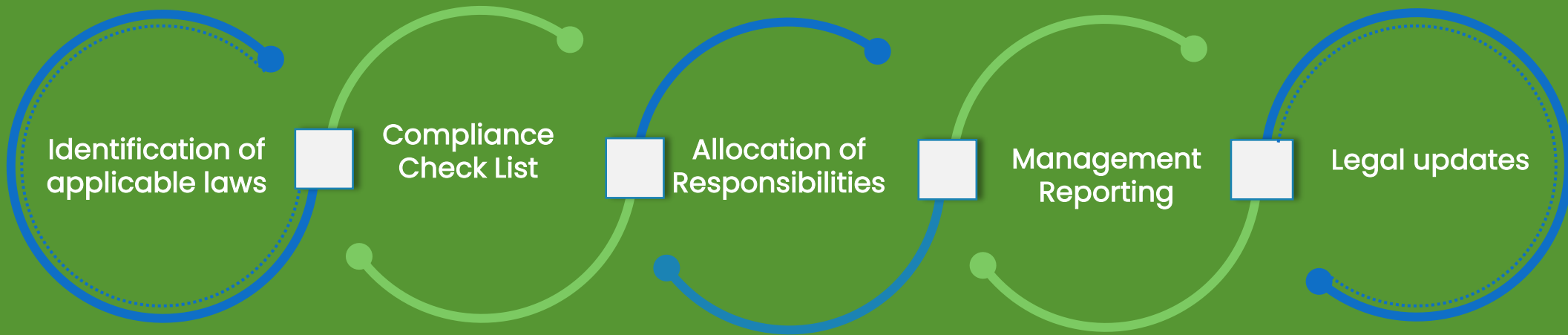
Factors impacting applicable compliances

- State
- Nature of Product or Services
- Mode of delivery
- Number and category of Head counts
- Consumables
- Plant and Machinery
- Raw materials
- Financials
- Service providers and much more.....

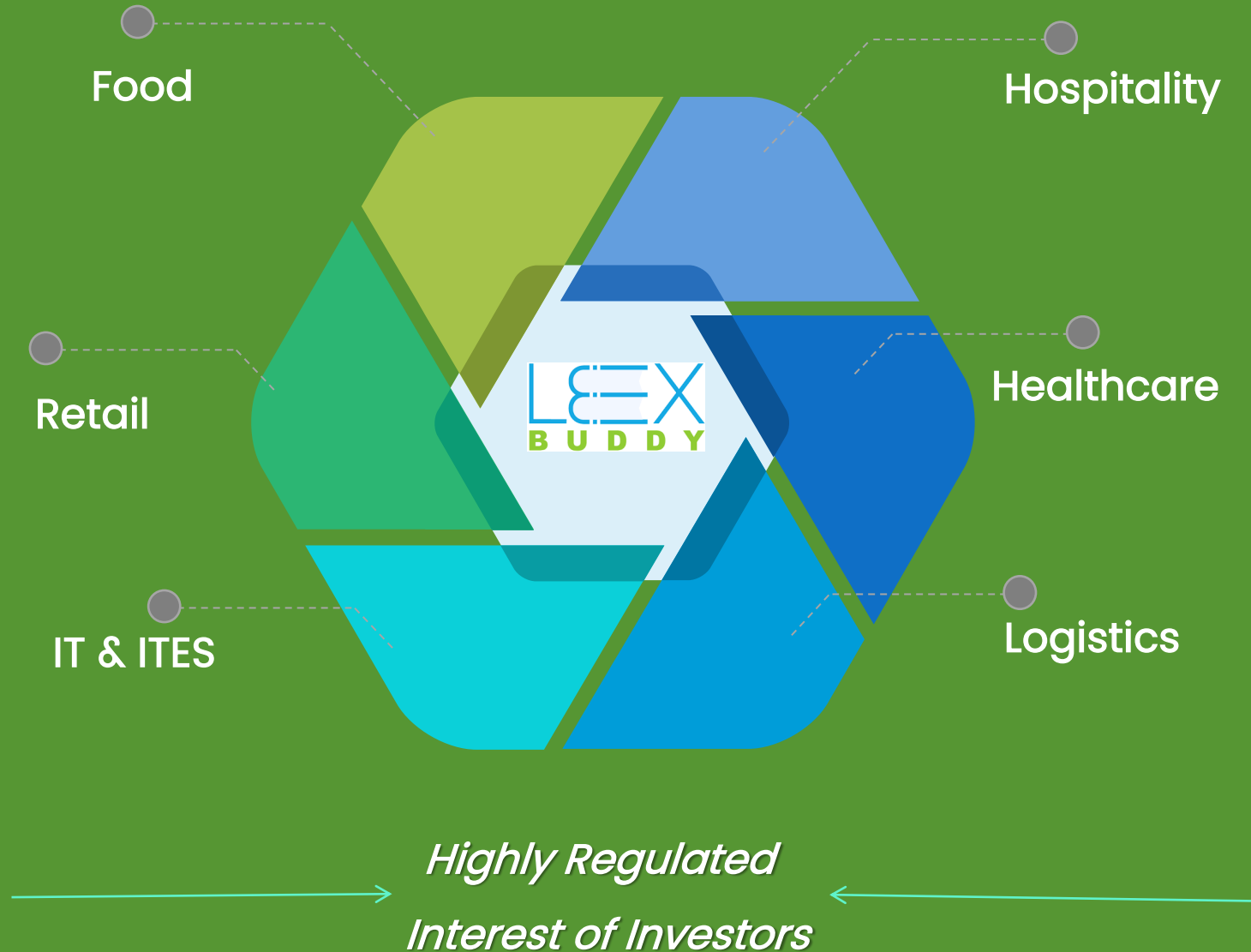
Different type of Business Locations

- Registered office
- Corporate office
- Plants
- Warehouse
- Branches/sales office
- Website

How Technology helps ?



Sectors to Target



Lets Discuss

Q & A



THANK YOU